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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Diane Symm  
Signature

Applicant : Craig L. Ogg, et al.  
Application No. : 09/688,452  
Filed : October 16, 2000  
Title : ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC  
MODULE FOR SECURE PROCESSING OF  
VALUE-BEARING ITEMS  
Grp./Div. : 2131  
Examiner : To be assigned  
Docket No. : 40628/RRT/S850

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

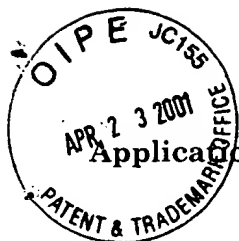
Post Office Box 7068  
Pasadena, CA 91109-7068  
April 19, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited



Application No.09/688,452

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

A handwritten signature in black ink, appearing to read "Raymond R. Tabandeh".

Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/sd2

Enclosures: PTO 1449, w/9 references

DSZ PAS343594.1.\*-4/19/01 9:16 AM